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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: Pramod K. Srivastava

Serial No.: 09/750,972

Group Art Unit: 1645

TECH CENTER 1600/2900

Filed: December 28, 2000

Examiner: To Be Assigned

For: ALPHA (2) MACROGLOBULIN AS A HEAT SHOCK PROTEIN RECEPTOR AND USES THEREOF Attorney Docket No.: 8449-134

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicant respectfully directs the Examiner's attention to the references DK-DL listed on the attached revised form PTO 1449. Copies of references DK-DL are submitted herewith.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: June 22, 2001

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

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Enclosure